

**NOVEMBER 24, 2023**

**2023 AUDITOR GENERAL WORK PLAN**

# **PROGRESS REPORT**

# Executive Summary

## 2023 AUDITOR GENERAL WORK PLAN

# Work Plan Progress

**W**ork has progressed in accordance with the revised plan with the following considerations:

1. The Procurement Education Compliance work is delayed by 15-20 days given that additional analysis of submitted responses/evidence needed to be reviewed to ensure accuracy. The project is now in the validation of findings stage with management and is expected to progress to reporting shortly.
2. The Storm Water review start date has been delayed to consider/assess concerns received through the Hotline. Once the impact and direction on these items, the project will be commenced and/or scope adjusted for the effort incurred in the Hotline considerations.
3. The remaining projects are planned within the timeline provided in the June Auditor General status report.












The Concerned Citizen and Employee Hotline continues to be regularly monitored through email, telephone, voicemail and postal mail. Since January 1, 2023, twenty six (26) actionable messages have been received. Twenty three (23) were routed to management for action, and one (1) was provided with the appropriate channel to raise a complaint, given their concern and 2 related to individual who were not citizens nor employees of the City of Windsor. An additional one hundred and thirty nine (139) spam/junk mail messages were received.

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# WORK PLAN PROGRESS

The following summary outlines the progress against the work plan previously presented.

Planned Project (with timing per submitted plan)	Progress to Completion	Report Issued
BIA Review #1 - Nov/23-June/24	On track	
BIA Review # - Jan/23-June/24	On plan	
BIA Review #3 - May/24-Nov/24	On plan	
Performance Audit - Stormwater Financing Project - Implementation Plan Report, City Wide - Aug/23-June 24	<i>On Hold Pending CCEP Analysis</i>	
Procurement – Education compliance		
Management action plan validation		
Concerned citizen and employee hotline		 
Risk assessment refresh		
Oversight, administration and reporting		 
AG Functions		NA
<b>Overall Plan Progress</b>		NA

**Legend:**



To be issued



Issued/Completed



Cancelled

# APPENDIX A - COMPLAINTS & INVESTIGATION

Area	Checked within timeframe	Inbound	Hangups/ Spam	Routed to Management	Under Analysis	Under Investigation	Investigated
Concerned Citizen & employee Hotline	Yes*	163*	139	23	1	0	0
Auditor General Direct	Yes	2	0	0	2	0	0

\* Three items were redirected to other relevant contacts - i.e., the Integrity Commissioner - or were not citizens nor employees of The City of Windsor.